

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Alex T. Roth

Serial No. : 10/719,034

Art Unit:

Filed : November 21, 2003

Examiner: Not Assigned

For : DEVICES FOR LESS-INVASIVE INTRACARIAC INTERVENTION

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to: Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

3/18/04

(Date of Deposit)

Brian S. Tomko

(Name of applicant, assignee, or Registered Representative)

BSTL

(Signature)

3/18/04

(Date of Signature)

Commissioner for Patents
P.O. Box 1450
Washington, D.C. 20231

FIRST SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Dear Sir:

This copy is supplemental to the Information Disclosure
Statement mailed on November 21, 2003.

Pursuant to 37 C.F.R. §1.56 and in accordance with 37 C.F.R.
§§1.97-1.98, information relating to the above-identified
application is hereby disclosed. Inclusion of information in this
statement is not to be construed as an admission that this
information is material as that term is defined in 37 C.F.R.
§1.56(b).

Applicant(s) reserve(s) the right to establish the
patentability of the claimed invention over any of the information
provided herewith, and/or to prove that this information may not
be prior art, and/or to prove that this information may not be
enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist.

☒ In accordance with §1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified national application (other than a continued prosecution application under §1.53(d)), within three months of the date of entry into the national stage of the above identified application as set forth in §1.491, or before the mailing date of a first Office Action on the merits of the above-identified application, or before the mailing date of a first Office Action after the filing of a request for continued examination under §1.114, no additional fee is required.

☐ In accordance with §1.129(a), this Information Disclosure Statement is being filed in connection with ☐ the first or ☐ second After Final Submission, therefore:

- ☐ Statement in Accordance with §1.97(e) (attached);
or
- ☐ Please charge Deposit Account No. 10-0750/ / the fee of \$180.00 as set forth in §1.17(p).

☐ In accordance with §1.97(c), this Information Disclosure Statement is being filed after the period set forth in §1.97(b) above but before the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311, or an action that otherwise closes prosecution and that it is accompanied by one of:

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☒ Copies of each of the references listed on the attached Form PTO-1449 are enclosed herewith.

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☐ In view of the voluminous nature of references [list as appropriate], and the likelihood that these references are available to the Examiner, copies are not enclosed herewith.

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☐ There are no listed references which are not in the English language.

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Respectfully submitted,

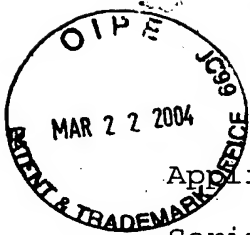


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DATED:

March 18, 2004



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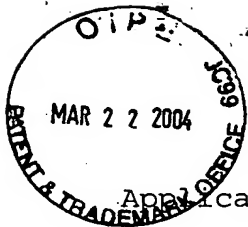


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DATED:

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Docket No. HRT-165C1

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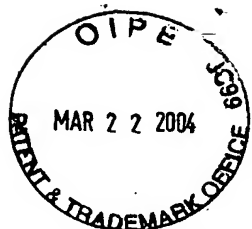


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One Johnson & Johnson Plaza
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DATED:

March 18, 2004



PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 1

Application Number	10/719,034
Filing Date	November 21, 2003
First Named Inventor	A. T. Roth
Group Art Unit	
Examiner Name	Not Assigned
Attorney Docket Number	HRT-165C1

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear
		Number	Kind Code ² (if known)			
		4,529,397		Hennemuth	07-16-1985	
		3,890,969		Fischel	06-24-1975	
		4,972,827		Kishi	11-27-1990	
		1,127,325		Vauclain	02-02-1915	
		4,702,250		Ovil	10-27-1987	

FOREIGN PATENT DOCUMENTS

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		Office ³	Number ⁴	Kind Code ⁵				
		WO	92 21293	✓	Origin Medsystems, Inc.	12-10-1992		
		JP	58-146339	✓	Sartorius GMBH	08-31-1983		
		JP	50-103199	✓	Baxter Laboratories Inc.	08-14-1975		
		JP	3-198829	39 ✓	Fuji Photo Optical Co. Ltd.	08-30-1991		

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Unique citation designation number. 2 See attached Kinds of U.S. Patent Documents. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

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